



INFORMATION DISCLOSURE CITATION IN AN APPLICATION  (PTO-1449)				ATTY. DOCKET NO. 111828.120US1		SERIAL NO. 09/805,196 <div style="text-align: center; transform: rotate(-15deg);"> RECEIVED AUG 27 2001 Technology Center 2600 </div>	
				APPLICANT SMITHIES et al.			
				FILING DATE March 14, 2001		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
NV	5,872,848	02/16/99	Romney, et al.	380	25	02/18/97	
NV	4,872,848	10/10/89	Mouissie	439	135	11/03/88	
FOREIGN PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						Yes	No
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
NV	Leibowitz, Wendy R., "E-Signatures: Where's the Beef?" <u>WendyTech</u> , article, November 14, 2000, Legal Web Works, accessed August 21, 2001, < http://www.wendytech.com/articlessignature.htm >						
NV	"Tax Filing Alternatives Grow in Popularity", <u>National Tax Services, Inc.</u> , IRS News Release #IR-93-17, Feb. 10, 1993, accessed August 21, 2001, < http://unclefed.com/Tax-News/1993/Nr93-17.htm >						
NV	"Alternative Ways of Doing Business", <u>National Tax Services, Inc.</u> , IRS Fact Sheet #FS-93-6, December 1993, accessed August 21, 2001, < http://unclefed.com/Tax-News/1993/Nrfs93-6.htm >						
NV	"Tax Administration: Continuing Problems Affect Otherwise Successful 1994 Filing Season", <u>General Government Division</u> , report, October 7, 1994, accessed August 21, 2001, < http://www.unclefed.com/GAOReports/gao95-5.htm >						
EXAMINER	/Naresh Vig/			DATE CONSIDERED 09/25/2006			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
NV	LeJeune, Michelle, "Filing Taxes Electronically Easier But Still Has Bugs", article, 1998, Boulder County Business Report, accessed August 21, 2001, < http://www.bcbr.com/dec98/etax2.htm >						
NV	"Artic Web Delivers On Its Promise for Secure Voice Payment Technology for m-Commerce", article, September 8, 2000, Articweb, accessed August 21, 2001, < http://articweb.com/news-080900.htm >						
NV	"What is the Voice Signature Service@?", before March 2001, TradeHarbor, accessed August 22, 2001, < http://tradeharbor.com/final/flash_products.htm >						
NV	"TradeHarbor's Voice Signature Service@ Tech Papers", before March 2001, TradeHarbor, accessed August 22, 2001, < http://tradeharbor.com/final/flash_whitepapers.htm >						
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NV	5,544,255	08/06/96	Smithies, et al.			08/31/94	
NV	5,647,017	07/08/97	Smithies, et al.			05/09/96	
NV	5,818,955	10/06/98	Smithies, et al.			05/20/97	
NV	5,857,028	01/05/99	Frieling			05/15/97	
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NV	6,091,835	07/18/00	Smithies, et al.			02/17/98	
NV	6,149,440	11/21/00	Clark, et al.			09/18/98	
				RECEIVED JUL 19 2001 Technology Center 2600			
FOREIGN PATENT DOCUMENTS							
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						Yes	No
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Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
				Application Number	09/805,196
				Filing Date	March 14, 2001
				First Named Inventor	Christopher P. K. SMITHIES
				Art Unit	3629
				Examiner Name	Naresh Vig
Sheet	1	of	1	Attorney Docket Number	111828.121US1 (formerly 111828.120US1)

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
NV		US-6,401,066-B1	06-04-2002	McIntosh	
NV		US-6,876,987-A1	08-01-2002	Bahler et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁴
		Country Code ³ -Number-Kind Code ² (if known)				

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NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T ²

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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	/Naresh Vig/	Date Considered	09/25/2006
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